

**Register of Personal Interests –
Board of Governors**

Full Name	Lionel Colln Wallace			
Capacity/Relationship to University of Hertfordshire <i>(for example, Subsidiary Company Director/ Senior Manager, etc)</i>	Governor			
Committee Membership <i>(Please provide details of any UH Boards or Committees upon which you sit)</i>	People and Culture Committee			
Paid employment <i>(please state the nature of the employment and the employer's name covering the year to 31 July 2022)</i>	Lilium Aviation (Up until 31 st August 2022)			
Self-employment <i>(please state the nature of the business covering the year to 31 July 2022)</i>	Wallace Holdings Limited (Admin, Property and Board Services) Lionel Wallace Limited (Aviation Consultancy) JLM Holdings Limited (Estate and Property Services)			
In this section, please provide the full legal name as shown at Companies House, together with a link to Companies House register (if possible)				
	Organisation Name <i>(Please provide the full legal entity name to include Ltd/plc if applicable)</i>	Company or Charity Number	Position Held	Date (dd/mm/yyyy) of appointment/ resignation <i>(if position was not held for the full year)</i>
Charity trusteeships <i>(please provide the full legal name of the charity/charities)</i>	LCGC Charity Living Waters ICF St Albans Cath M/Trust Music For My Mind	1148739 1099437 1051072 1167246	Trustee Trustee (Chair) Trustee (Chair) Trustee	23/07/2013 25/03/2015 15/11/2022 25/05/2023
Directorships held <i>(please list any directorships of companies registered under the Companies Act, whether remunerated or not)</i>	Wallace Holdings Limited Chequers Sandwich Bars Lionel Wallace Limited JLM Holdings Limited	03565411 04004475 09425806 14266619	Director Director Director Director	18/05/1998 31/05/2000 06/02/2015 01/08/2022
Share/equity holdings <i>(the names of companies in which you own 5% or more of share capital)</i>	Wallace Holdings Limited Lionel Wallace Limited JLM Holdings Limited			
Other relevant interests¹ <i>(including related party transactions)</i>	None known			
Other relevant appointments² <i>(Please include dates)</i>	Member (Advisory Board) – Beaumont School, St. Albans, Herts			
Other details which you feel should be included on the Register				

¹ For example, where your employer, business associates, spouse/partner or other family member has a direct interest in the supply of goods or services to the University; or where you or your spouse/partner or other family member has a substantial beneficial interest in the securities of a company or other body that is involved in the supply of goods or services to the University. Please detail the name of the individual(s), their relationship to you and their interest in the matter; the matter itself and the amounts involved. See UPR GV17 for more information.

² For example, membership of any statutory or other body of any other educational institution or organisation in the education sector, membership of a University Conference, General Council or equivalent, membership of a Funding Council, the QAA or a Research Council, membership of any validating or awarding body in the education sector, membership of any relevant governmental or institutional advisory committee.

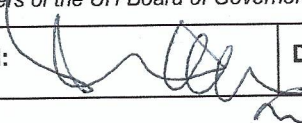
DECLARATION

By submitting this request where indicated below, I confirm that:

- I have declared all interests in accordance with UPR [GV17](#).
- I understand that my entry on the Register of Personal Interests will be displayed on the University website* (contact details will be treated in confidence in accordance with the UK retained version of the General Data Protection Regulation 2016/679).
- I will inform the Secretary and Registrar or Company Secretary of any significant changes.

(*Members of the UH Board of Governors only)

Signed:



Date: 22nd April 2024

PLEASE ENSURE ALL BOXES ARE COMPLETED. IF THERE IS NO RELEVANT INFORMATION, RECORD 'NONE' OR 'N/A'

UNIVERSITY OF HERTFORDSHIRE

NAME: Lionel Colin Wallace

DATE OF BIRTH: 25th May 1963

ROLE TITLE: Governor

Please tick 'Yes' or 'No' as appropriate. If 'Yes', then please outline the circumstances.

1	Are you or have you ever been disqualified by a court from being a director or from acting as a charity trustee, as set out in the Company Directors Disqualification Act 1986 or the Charities Act 2011, or from acting in the management or conduct of the affairs of any company?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
If yes , please outline the circumstances:			
2	Have you ever been or are you currently involved in a business that has, either during your connection or within one year of that connection: <ul style="list-style-type: none"> • gone into liquidation or • called in a receiver or administrator, • been wound up • been convicted of any offence that potentially carries a custodial offence for an individual 	Yes <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	No <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/>
If yes , please outline the circumstances:			
3	Have you ever had or used a different personal name? Answer yes regardless of the reason for the change of name or use of a different name. Examples for answering yes are: <ul style="list-style-type: none"> • Marriage • Deed poll • Nom de plume 	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
If yes , please outline the circumstances:			
4	Have you ever been convicted of a criminal offence anywhere in the world? ¹	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
If yes , please outline the circumstances:			
5	In the last three years, have you been the subject of any adverse finding in civil proceedings, where relevant, including but not limited to bankruptcy or equivalent proceedings?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
If yes , please outline the circumstances:			

6	<p>In the last ten years, have you been:</p> <p>a. refused or been restricted in the right to carry on any trade, business or profession for which a specific licence, registration or other authority is required?</p> <p>b. investigated about allegations of misconduct or malpractice in connection with your professional activities which resulted in a formal complaint being proved but no disciplinary orders being made?</p> <p>c. the subject of disciplinary procedures by a professional body or employer resulting in a finding against you?</p> <p>d. reprimanded, excluded, disciplined or publicly criticised by any professional body to which you belong or have belonged?</p> <p>e. refused entry to or excluded from membership of any profession or vocation?</p> <p>f. dismissed or requested to resign from any office, employment or partnership (other than through redundancy)?</p> <p>g. reprimanded, warned about future conduct, disciplined or publicly criticised by any regulatory body, or any officially appointed enquiry concerned with the regulation of a financial, professional or other business activity?</p> <p>h. the subject of a court order at the instigation of any regulatory body, or any officially appointed enquiry concerned with the regulation of a financial, professional or other business activity?</p>	<p>Yes</p> <p><input type="checkbox"/></p>	<p>No</p> <p><input checked="" type="checkbox"/></p>
<p>If yes, please outline the circumstances:</p>			
7	<p>In the last five years, have you been the subject of any civil action relation to your professional or business activities that has resulted in a judgement or finding against you by a court, or a settlement (other than a settlement consisting only of the dismissal by consent of a claim against it and the payment of its costs) being agreed?</p>	<p>Yes</p> <p><input type="checkbox"/></p>	<p>No</p> <p><input checked="" type="checkbox"/></p>
<p>If yes, please outline the circumstances:</p>			
8	<p>Have you ever been involved in any abuse of the tax systems?</p>	<p>Yes</p> <p><input type="checkbox"/></p>	<p>No</p> <p><input checked="" type="checkbox"/></p>
<p>If yes, please outline the circumstances:</p>			
9	<p>Have you ever been involved with any entity that has been refused registration to carry out a trade or has had that registration terminated?</p>	<p>Yes</p> <p><input type="checkbox"/></p>	<p>No</p> <p><input checked="" type="checkbox"/></p>
<p>If yes, please outline the circumstances:</p>			
10	<p>Have you been dismissed from a position of trust or similar?</p>	<p>Yes</p> <p><input type="checkbox"/></p>	<p>No</p> <p><input checked="" type="checkbox"/></p>
<p>If yes, please outline the circumstances:</p>			

11	Have you ever been involved with a higher education provider that has had its registration refused or revoked by the OfS or has had similar action taken against it by another regulator (this includes, but is not limited to, serving on a board/governing body, having voting rights, being a significant shareholder/owner, serving in a senior position, etc.)?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
If yes , please outline the circumstances:			
12	Are you currently undergoing any investigation or disciplinary procedures in respect of issues that would give rise to any 'yes' answers to questions in this 'Fit and Proper' section?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
If yes , please outline the circumstances:			

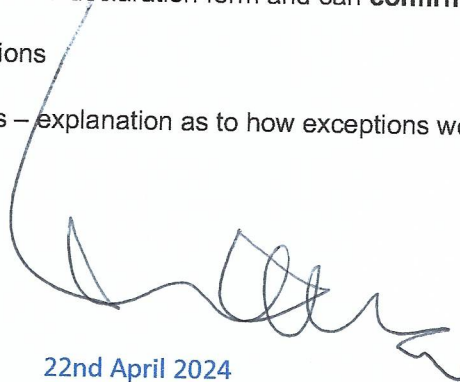
I hereby **confirm** that the information provided above is true and accurate.

I **consent** that the information hereby provided, and any further regulatory personal data information relating to me, may be held and processed by the Office for Students for the purpose of its regulation of the University of Hertfordshire.

I have **reviewed** the declaration form and can **confirm** that there are:

- No exceptions
- Exceptions – explanation as to how exceptions were cleared:

Signature:



Date:

22nd April 2024

(*You are not expected to disclose matters that are "spent" under the Rehabilitation of Offenders Act 1974 but you may do so if you wish – particular consideration will be given to offences of dishonesty, fraud, financial crime or an offence under legislation relating to higher education, further education and charities, whether or not in the UK.)

